



- The Webinar will begin promptly at 1:15 CST/2:15 EST
- All participants are muted to avoid background noise
- Feel free to enter questions in the WebEx chat box throughout the presentation
- There will be a brief Q&A session at the end of the presentation

IWCC EDI Claims R3.1 Webinar

David Larson, Deputy General Counsel IWCC

February 25, 2019

Agenda

IWCC EDI Claims R3.1 Webinar

- IWCC team Intros
- Filings Claims with IWCC
- IWCC EDI R3.1 Timeline
- Trading Partner Agreement Review
- Navigating The IWCC Website
- Testing Plan Walkthrough
- Claims Data to Report
- IWCC Web Portal Process
- File Submission Process
- Go Live Preparedness
- Where To Go for EDI Help
- Q&A

Welcome!



IWCC EDI Team Intros

IWCC Claims EDI R3.1

- David Larson, Deputy General Counsel IWCC
- Matt Bryant, President WorkComp Strategies
- Connor Gallagher, WorkComp Strategies BA
- Saurabh Kushwaha, Ebix Client Services Manager
- Karen Eaton, Director, Project Management

Your IWCC Team



Filing Claims with IWCC

IWCC EDI Claims R3.1

➤ **How does this impact you?**

- No more paper!
- The IWCC will no longer collect the paper accident reports (Forms IC45- First Report of Injury and IC85- Supplemental Report of Injury).

➤ **What IWCC currently accepts (voluntary)**

- The IWCC currently permits employers to submit paper FROIs and SROIs. We also permit electronic submission of FROIs in the IAIABC's Claims Release 1.0. Electronic reporting is voluntary.



Filing Claims with IWCC

IWCC EDI Claims R3.1

➤ **What IWCC will change to (mandatory XML R3.1 submissions)**

- The IWCC stops collection of paper forms IC45 and IC85.
- Current electronic reporting retires effective 5/31/2019.
- The IWCC will require electronic reports via the Release 3.1 XML format beginning 6/14/2019 .
- **Electronic accident reporting is mandatory.**
- **The XML file format is mandatory.**



Filing Claims with IWCC

IWCC EDI Claims R3.1

➤ New to EDI?

• EDI Resources

- IWCC Website (www2.illinois.gov/sites/iwcc)
- IAIABC EDI Membership
(<https://www.iaiaabc.org/iaiaabc/Membership.asp>)
- ACORD Membership (<https://www.acord.org/membership-participation/programs-offerings> or memberservices@acord.org)



Filing Claims with IWCC

IWCC EDI Claims R3.1

➤ New to EDI?

• Training Opportunities

- IAIABC EDI Training Subscription (https://www.iaiaabc.org/iaiaabc/EDI_Training_Subscription.asp)
- 2019 Face to Face EDI Training (https://www.iaiaabc.org/iaiaabc/Face-to-Face_Training.asp)
 - EDI Claims R3.1 Training Event - Chicago, IL on 3/12 – 3/13/2019
 - EDI Claims R3.1 Training Event - Nashville, TN on 6/10 – 6/11/2019



IWCC EDI R3.1 Timeline

IWCC EDI Claims R3.1

EDI Claims R3.1 Go Live Activities	Scheduled Date
IWCC EDI R3.1 FROI / SROI Announcement	10/4/2018
Trading Partner Agreements due before testing begins or by 5/17/2019	5/17/2019
Trading Partner and EDI Vendor Testing	
FROI / SROI Testing start date for trading partners and EDI vendors	2/25/2019
FROI / SROI Testing completion date for trading partners and EDI vendors	5/24/2019
Production Cutover	
Trading partners ensure the last reportable Release 1.0 EDI files are sent and received by 5 p.m. CST (6 p.m. EST)	5/31/2019 by 5 p.m. CST (6 p.m. EST)
Quiet Period: There will be a quiet period between June 1 and June 13, 2019, when trading partners are not required to submit claim reports. Please submit appropriate reports via EDI beginning on the R3.1 go-live date of 6/14/2019.	6/1/2019 - 6/13/2019
Go Live: Submit all IWCC EDI R3.1 FROI and SROIs via EDI IAIABC XML file format	6/14/2019
All Update Reports (FROI and SROI UR) for legacy claims are due from trading partners. The legacy claim definition is found in the IWCC Event Table.	8/16/2019



Trading Partner Agreement Review

IWCC EDI Claims R3.1

➤ **Who submits EDI transactions to IWCC? (Trading Partner Agreement)**

- Every employer subject to the Illinois Workers' Compensation Act must submit EDI transaction to the IWCC.
 - All trading partners must complete and submit a Trading Partner Agreement.
- Trading Partner Agreement is found on the IWCC's website at <http://www2.illinois.gov/sites/iwcc/Pages/EDIImplementation.aspx>.
- Questions? Contact IWCC-EDICoordinator@ebix.com.



Trading Partner Agreement Review

IWCC EDI Claims R3.1

- The agreement is between the trading partner and the IWCC
- Identifies the entity submitting filings to IWCC (e.g., Self-insured Employer, Third Party Administrator)
- 1 TP Doc per sender FEIN
- Include Legal Name, Sender FEIN, and Postal Code

**IAIABC
ELECTRONIC TRANSMISSION PROFILE
SENDER'S RESPONSE**

Return this page to:

Receiver Name: Illinois Workers' Compensation Commission
Receiver ID: Receiver FEIN: 42-1743022
Receiver Postal Code (9 digits): { 60601 } – { 3218 }

Sender Selections/Information

EDI Service Provider:

Master Trading Partner Information:

Legal Name (no abbreviations):

Trading Partner Type: ☐ Jurisdiction ☐ Third Party Administrator ☐ Employer
☐ Service Bureau/DCO ☐ EDI Service Provider ☐ Self-Insurer ☐ Insurer
Other (specify):

Sender ID: Sender FEIN: **Sender Postal Code (9digits):**

Transaction Sets for This Profile:



Navigating The IWCC EDI Webpage

IWCC Claims EDI R3.1

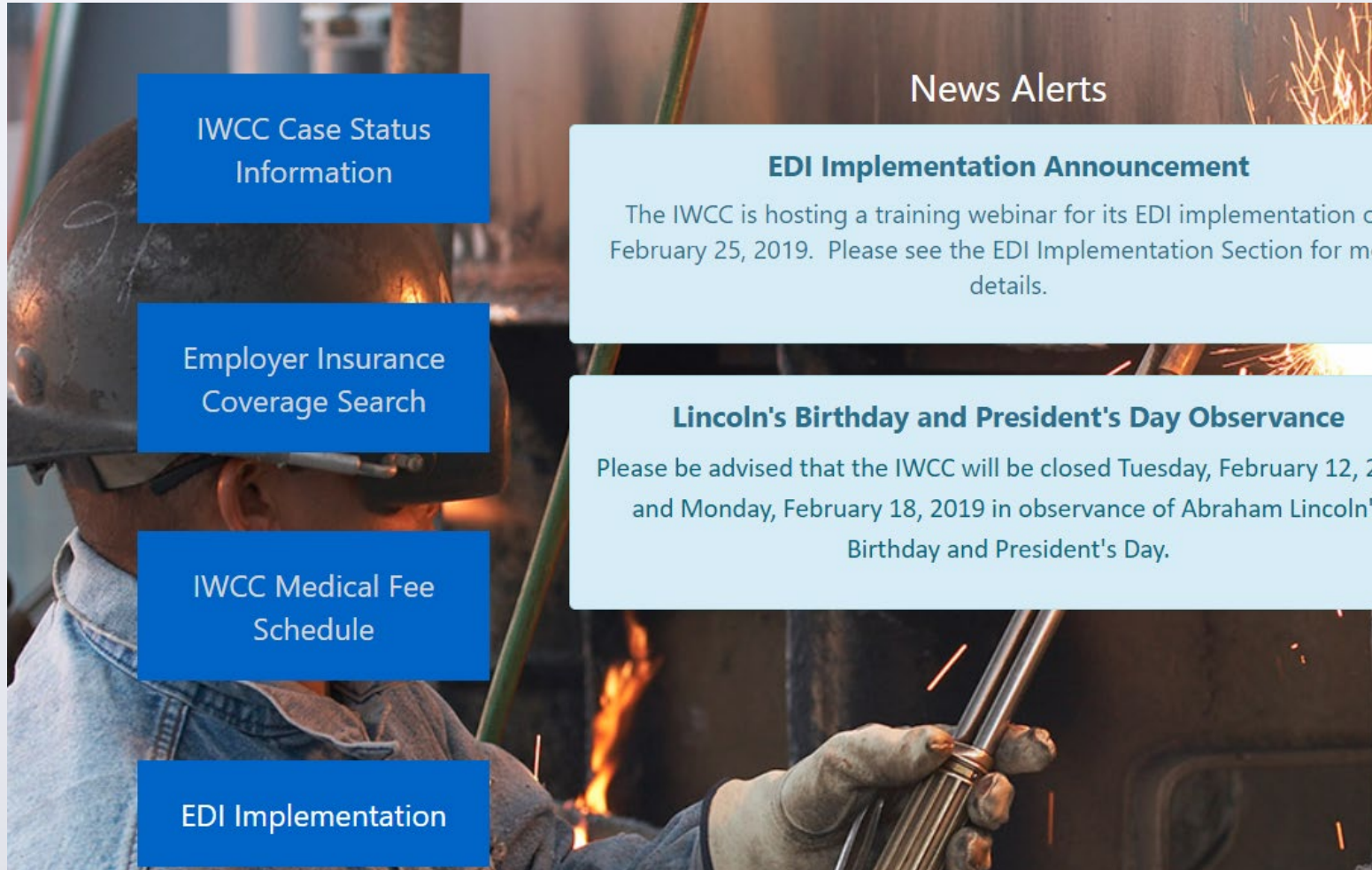
<https://www2.illinois.gov/sites/iwcc/Pages/default.aspx>



Navigating The IWCC EDI Webpage

IWCC Claims EDI R3.1

<https://www2.illinois.gov/sites/iwcc/Pages/default.aspx>



The screenshot shows the IWCC EDI webpage interface. On the left, there are four blue navigation buttons stacked vertically: 'IWCC Case Status Information', 'Employer Insurance Coverage Search', 'IWCC Medical Fee Schedule', and 'EDI Implementation'. On the right, under the 'News Alerts' heading, there are two light blue alert boxes. The first box is titled 'EDI Implementation Announcement' and contains text about a training webinar on February 25, 2019. The second box is titled 'Lincoln's Birthday and President's Day Observance' and contains text about the IWCC being closed on February 12, 2019, and February 18, 2019. The background of the webpage features a welder working on a metal pipe.

News Alerts

EDI Implementation Announcement

The IWCC is hosting a training webinar for its EDI implementation on February 25, 2019. Please see the EDI Implementation Section for more details.

Lincoln's Birthday and President's Day Observance

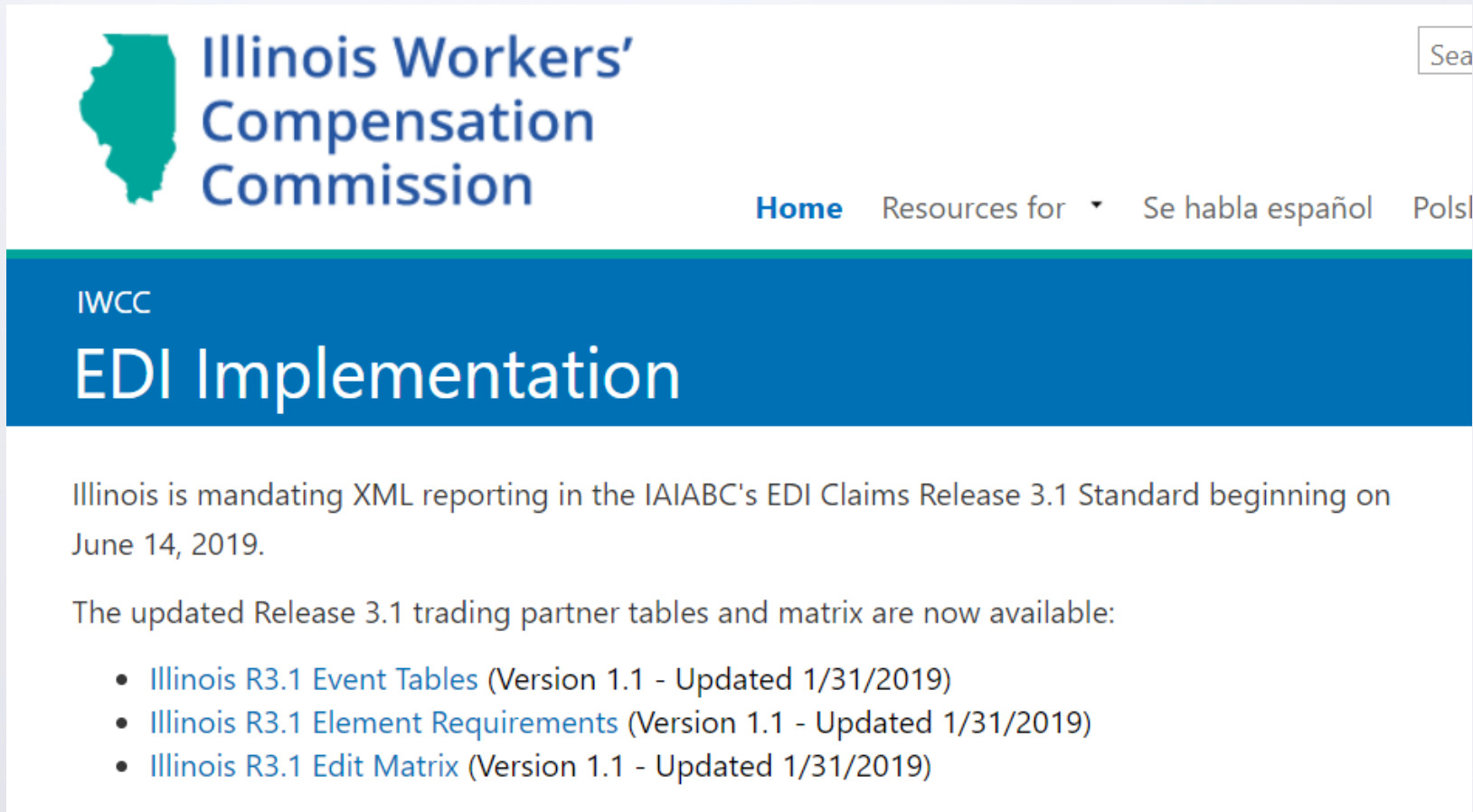
Please be advised that the IWCC will be closed Tuesday, February 12, 2019, and Monday, February 18, 2019 in observance of Abraham Lincoln's Birthday and President's Day.



Navigating The IWCC EDI Webpage

IWCC Claims EDI R3.1

<https://www2.illinois.gov/sites/iwcc/Pages/default.aspx>



The screenshot shows the top of the IWCC website. The header features the IWCC logo (a green outline of Illinois) and the text "Illinois Workers' Compensation Commission". To the right of the logo is a search bar with the text "Sea". Below the logo, there are navigation links: "Home", "Resources for" (with a dropdown arrow), "Se habla español", and "Polis". A blue banner below the header contains the text "IWCC" and "EDI Implementation". Below the banner, the main content area has a paragraph stating: "Illinois is mandating XML reporting in the IAIABC's EDI Claims Release 3.1 Standard beginning on June 14, 2019." This is followed by another paragraph: "The updated Release 3.1 trading partner tables and matrix are now available:". Below this, there is a bulleted list of three links: "Illinois R3.1 Event Tables (Version 1.1 - Updated 1/31/2019)", "Illinois R3.1 Element Requirements (Version 1.1 - Updated 1/31/2019)", and "Illinois R3.1 Edit Matrix (Version 1.1 - Updated 1/31/2019)".

Illinois Workers' Compensation Commission

Home Resources for Se habla español Polis

IWCC

EDI Implementation

Illinois is mandating XML reporting in the IAIABC's EDI Claims Release 3.1 Standard beginning on June 14, 2019.

The updated Release 3.1 trading partner tables and matrix are now available:

- [Illinois R3.1 Event Tables](#) (Version 1.1 - Updated 1/31/2019)
- [Illinois R3.1 Element Requirements](#) (Version 1.1 - Updated 1/31/2019)
- [Illinois R3.1 Edit Matrix](#) (Version 1.1 - Updated 1/31/2019)



Navigating The IWCC EDI Webpage

IWCC Claims EDI R3.1

<https://www2.illinois.gov/sites/iwcc/Pages/EDIImplementation.aspx>

Trading Partner Documents:

- Illinois R3.1 Trading Partner Agreement
- Illinois R3.1 Implementation Guide
- Illinois R3.1 Testing Requirements Plan
- Illinois R3.1 FAQs



Testing Plan Walkthrough

IWCC Claims EDI R3.1

Getting Started With Testing

- The IWCC Test Plan is located at <https://www2.illinois.gov/sites/iwcc/Pages/EDIImplementation.aspx>
- Testing begins February 25, 2019
- All trading partners must be approved by the IWCC for production EDI reporting
- Separate transmissions for FROIs and SROIs in IAIABC R3.1 XML file format



Testing Plan Walkthrough

IWCC Claims EDI R3.1

Getting Started With Testing

- Files should contain actual claim data
- File naming convention is:

`WC_EDI_FROI_IL_masterfein_CCYYMMDD_HHmms`

where:

- MASTERFEIN is the FEIN that has been entered on the trading partner's Trading Partner Agreement
- CCYYMMDD is the date format
- HHmms is the time format



Testing Plan Walkthrough

IWCC Claims EDI R3.1

Business Test Scenarios

- **FROI** First Batch: 16 First Reports
 - 7 FROI/00 (original) with no errors that will obtain a TA
 - 1 FROI/00 (original) is rejected (*Suggest removing a piece of mandatory data or sending with invalid claim type code (DN0085)*)
 - 1 FROI/04 (denial) without agency claim number with no errors that will obtain a TA
 - 3 FROI/AQ (acquired) without agency claim number with no errors that will obtain a TA
 - At least 4 FROI Update Report (MTC URs) with no errors that will obtain a TA



Testing Plan Walkthrough

IWCC Claims EDI R3.1

Business Test Scenarios

- **FROI** Second Batch: Limited to 9 Transactions
 - 5 FROI/00 (original) are accepted
 - 1 FROI/00 (original) corrected for FROI/00 rejected in first batch
 - 2 FROI/02 (change) from accepted FROI/00 in first batch
 - 1 FROI/01 (cancel) from accepted FROI/00 in first batch



Testing Plan Walkthrough

IWCC Claims EDI R3.1

Business Test Scenarios

- **SROI** First Batch: 14 Subsequent Reports
 - At least 3 SROI MTC UR Transactions with no errors (TAs) when payments exist
 - 9 SROI/IP are accepted
 - 1 SROI/AP is accepted
 - 1 SROI/EP (Employer Paid)



Testing Plan Walkthrough

IWCC Claims EDI R3.1

Business Test Scenarios

- **SROI** Second Batch: 5 Subsequent Reports
 - 2 SROI/02 change on previously accepted SROI IP
 - 1 SROI/04 denial on previously accepted SROI IP
 - 1 SROI/SA on previously accepted SROI IP
 - 1 SROI/PY on previously accepted SROI IP
- **SROI** Third Batch: 1 Subsequent Report
 - SROI/FN is accepted



Claims Data to Report

IWCC Claims EDI R3.1

Events

- FROI/SROI Events: [IL EDI-Claims-R3.1_Event-Table_v1_1](#)
- Trigger value defines when a FROI /SROI is due
- Pay special attention to FROI/SROI UR

Release	Report Type	Maintenance Type		Event Rule			Report Trigger			When is the Report Due?			Statute	Paper	Receiver
		Code	Description	Criteria	From	Thru	Criteria	Trigger Value	Value	Due Type	From				
3.1	FROI	00	Original	2=EDI Mandate Date	6/14/2019		A = New Claim	New claim for Injuries and illnesses resulting in the loss of more than 3 scheduled work days	14 days	C	C = From Employer Notification		N/A	N/A	
3.1	FROI	00	Original	2=EDI Mandate Date	6/14/2019		A = New Claim	New claim as a result of accidental death	N/A	N/A	H = Immediate		N/A	N/A	
3.1	FROI	00	Original	2=EDI Mandate Date	6/14/2019		M = MTC Defined	FROI 00 sent after a FROI 04 if the denial has been rescinded. This MTC is due immediately after the denial was rescinded.	N/A	N/A	H = Immediate		N/A	N/A	
3.1	FROI	01	Cancel Entire	2=EDI Mandate Date	6/14/2019		M = MTC Defined	Cancel of an accepted claim.	N/A	N/A	H = Immediate		N/A	N/A	
3.1	FROI	02	Change	2=EDI Mandate Date	6/14/2019		R = 02 Change timeline: FROI 02 is due when a reportable change* occurs	B = 1 year from last accepted FN.	N/A	N/A	H = Immediate		N/A	N/A	
3.1	FROI	04	Denial	2=EDI Mandate Date	6/14/2019		W1 = new claim is denied in its entirety	Denial of new claim for Injuries and illnesses resulting in the alleged loss of more than 3 scheduled work days	14 days	C	C = From Employer Notification		N/A	N/A	
3.1	FROI	04	Denial	2=EDI Mandate Date	6/14/2019		W2 = deny entire claim after previously	Denial of a claim for injuries and illnesses resulting in loss time but for which no payments have been made (no SROI)	N/A	N/A	H = Immediate		N/A	N/A	
3.1	FROI	AQ	Acquired Claim	2=EDI Mandate Date	6/14/2019		M = MTC Defined	Claim administrator becomes aware of the need to notify IWCC that an open claim has been acquired.	14 days	C	D = From Claim Administrator Notification		N/A	N/A	
3.1	FROI	AU	Acquired/Unallocated	2=EDI Mandate Date	6/14/2019		M - MTC Defined	Claim Administrator acquires an open claim is required to submit an AU when an AQ was submitted and receives a TR (transaction rejected) because there is no claim match on database.	N/A	N/A	H - Immediate		NA	N/A	
3.1	FROI	UR	Update Report	2=EDI Mandate Date	6/14/2019		J = Jurisdiction Defined	- All open or reopened claim with DOI between 1/1/2007 and 12/31/2017 - All active and inactive claims (open, reopen, and closed) with DOI between 1/1/2018 and 6/13/2019. Must be sent prior to submitting any other First Reports of Injury for claims that were initiated before implementation of R3.1.	N/A	N/A	H = Immediate		N/A	N/A	



Claims Data to Report

IWCC Claims EDI R3.1

Events

- IWCC FROI/SROI Events Table: [IL_EDI-Claims-R3.1_Event-Table_v1_1](#)
- SROI UR is required for legacy claims before filing any other SROI
- IWCC accepts the periodic report SROI SA (Semi-Annual)

Report Type	Maintenance Type		Event Rule			Report Trigger		When is the Report Due?			Statute	Paper Form(s)	Receiver
	Code	Description	Criteria	From	Thru	Criteria	Trigger Value	Value	Due Ty	From			
SROI	02	Change	2=EDI Mandate Date	6/14/2019		R = 02 Change timeline: SROI 02 is due when a <i>reportable change</i> * occurs on the Claim Administrator's database until Report Trigger Value is reached A SROI 02 should not be used to include new payments and benefits made after the initial event, those should be reported on the next reportable event.	B = 1 year from last accepted FN.	N/A	N/A	H = Immediate		N/A	N/A
SROI	04	Denial	2=EDI Mandate Date	6/14/2019		W4 = deny entire claim after any SROI has been accepted	When the claim administrator is denying a claim that has an accepted SROI.	N/A	N/A	H = Immediate		N/A	N/A
SROI	AP	Acquired/Payment	2=EDI Mandate Date	6/14/2019		M = MTC Defined	When acquiring claim administrator makes their first indemnity payment after acquiring claim, and a FROI AQ or AU has been accepted.	5 days	B	G = From Initial Payment (IP)		N/A	N/A
SROI	FN	Final	2=EDI Mandate Date	6/14/2019		M = MTC Defined	Closed claim, no further indemnity or medical payments of any kind anticipated. 1. An FN is not due if the claim has been previously denied, and a SROI 04 has been accepted. 2. If the claim reopens and medical or indemnity payments are made, another FN should be filed on claim closure.	1 days	B	J = From Report Trigger		N/A	N/A
SROI	UR	Update Report	2=EDI Mandate Date	6/14/2019		J = Jurisdiction Defined	FROI UR is required prior to submitting any SROI for all open or reopened claim with DOI between 1/1/2007 and 12/31/2017, and for all active and inactive claims (open, reopen, and closed) with DOI between 1/1/2018 and 6/13/2019. For these claims, SROI UR must be sent prior to submitting any other Subsequent Reports of Injury for claims that were initiated before implementation of R3.1.	N/A	N/A	H = Immediate		N/A	N/A



Claims Data to Report

IWCC Claims EDI R3.1

Data Element Requirements

- IWCC Element Requirements Table: [IL_EDI-Claims-R3.1_Element-Requirement-Table_v1_1](#)
- Provides details about mandatory, mandatory conditional, and expected data numbers (DNs)
- Pay special attention to MTC 02 (Change)

Data Requirement Codes																	
M (Mandatory)					AE (If Applicable/Available Transaction Accepted with Errors)												
MC (Mandatory/Conditional)					AR (If Applicable/Available Transaction Rejected)												
E (Expected)					NA (Not Applicable)												
EC (Expected/Conditional)					F (Fatal Technical)												
AA (If Applicable/Available Transaction Accepted)					X (Exclude)												
					IAIABC Defined	#	%	&	>	?	+	+	*	^	2		
Reportable change codes - applies A, U, D, R columns for 02 Change MTC when the change occurs within timeline describe on Event table only																	
Changes should be reported unless the cell contains B, N, H or exception described in SROI 02 Exception worksheet applies to the data element																	
B: Restricted - IAIABC Defined No Change Allowed					I: Required Change on Either FROI or SROI not both – Claim Administrator Determines												
N: No Change Allowed - Will Reject if Present					IG: Required Change on Either FROI or SROI not both with Exception												
H: No Change Required - Will Not Reject if Present					Claim Administrator Determines												
K: Required Change on FROI					J: Required Change by Transaction Type												
KG: Required Change on FROI with Exception					Change on SROI if Accepted otherwise Change on FROI												
Y: Required Change on FROI or on SROI not both					JG: Required Change by Transaction Type with Exception												
YG: Required Change on FROI or on SROI not both with Exception					Change on SROI if Accepted otherwise Change on FROI												
Migration Considerations: Refer to Claims R1 to R3 to R3.1 Migration on IAIABC EDI Standard References web page																	
Match Data Note: Lower case "m" or "mc" requirement code indicates Match Data data elements.																	
Claim Administrator Postal Code (DN0014) and related address fields should be populated with:																	
<input checked="" type="radio"/> Mailing or <input type="radio"/> Physical																	
Please unfreeze the panes to see Legend information above.																	
On FROI SROI	REC	DN#	DATA ELEMENT NAME	FORMAT			00	01	FROI MTC'S					04	AQ	AU	UR - Update Report
									02								
					Migration Consideration	Match Data			Reportable Change								
									02 Requirement Code	A (Add)	U (Update)	D (Delete)	R (Remove)				
Both	148	0001	Transaction Set ID	3 A/N	NI		F	F	F	B	B	B	B	F	F	F	F
Both	148	0002	Maintenance Type Code	2 A/N	NI		F	F	F	B	B	B	B	F	F	F	F
Both	148	0003	Maintenance Type Code Date	DATE	NI		F	F	F	B	B	B	B	F	F	F	F
Both	148	0004	Jurisdiction Code	2 A/N	NI		F	F	F	B	B	B	B	F	F	F	F
Both	148	0005	Jurisdiction Claim Number	25 A/N	NI		NA	M	m	B	N	B	B	MC	NA	NA	NA
Both	148	0006	Insurer FEIN	9 A/N	NI		M	M	m	N	J	B	N	M	m	m	M
FROI	148	0012	Claim Administrator City	15 A/N	NI		M	NA	MC	N	Y	B	N	M	M	M	NA



Claims Data to Report

IWCC Claims EDI R3.1

Data Element Requirements

- IWCC Element Requirements Table: [IL_EDI-Claims-R3.1_Element-Requirement-Table_v1_1](#)
- IWCC does not expect Employee ID type information.

On FROI / SROI	REC	DN#	DATA ELEMENT NAME	FORMAT			00	01	02				04	AQ	AU	UR - Update Report
									Reportable Change							
					Migration Consideration	Match Data			02 Requirement Code	A (Add)	U (Update)	D (Delete)	R (Remove)			
Both	R21	0270	Employee ID Type Qualifier	1 A/N	NI		NA	NA	NA	B	N	B	B	NA	NA	NA
FROI	R21	*	Employee ID	*One of the following Employee ID types may be populated												
Both	148	0042	Employee SSN	15 A/N	NI		NA	NA	NA	B	N	B	B	NA	NA	NA
Both	R21	0152	Employee Employment Visa	15 A/N	NI		NA	NA	NA	B	N	B	B	NA	NA	NA
Both	R21	0153	Employee Green Card	15 A/N	NI		NA	NA	NA	B	N	B	B	NA	NA	NA
Both	R21	0154	Employee ID Assigned by Jurisdiction	15 A/N	NI		NA	NA	NA	B	N	B	B	NA	NA	NA
Both	R21	0156	Employee Passport Number	15 A/N	NI		NA	NA	NA	B	N	B	B	NA	NA	NA



Claims Data to Report

IWCC Claims EDI R3.1

Data Element Requirements

- IWCC Element Requirements Table: [IL_EDI-Claims-R3.1_Element-Requirement-Table_v1_1](#)
- DNs marked 'MC' have business and technical conditions on the Conditional Requirements tab

	A	B	C	D	E	F	G	H	I	J	K	L
1		Indicate specific MTC or	FROI DATA ELEMENT									
2	Req Code	MTC	DN#	DATA ELEMENT NAME	BUSINESS CONDITION(S)	TECHNICAL CONDITION(S)	EXCEPTIONS					
3	MC		0005	Jurisdiction Claim Number	Mandatory only if denial is being submitted after a previously submitted first report of injury is on file.	Mandatory for following conditions: MTC = 04 AND Is NOT first submitted report of injury						
4	MC		0016	Employer FEIN	Not mandatory for following conditions: 1. Denial is being submitted where there is no jurisdiction. 2. Denial is being submitted where there is no policy in effect on date of accident.	Not mandatory for following conditions: MTC = 04 AND DN0198 (Full Denial Reason Code) = 3D OR 3E						
5	MC		0017	Insured Name	Mandatory only if denial is being submitted after a previously submitted first report of injury is on file.	Mandatory for following conditions: MTC = 04 AND Is NOT first submitted report of injury AND DN0198 (Full Denial Reason Code) not equal to 3E						
6	MC		0021	Employer Physical City	Not mandatory for following condition: Denial is being submitted where there is no policy in effect on date of accident.	Not mandatory for following conditions: MTC = 04 AND DN0198 (Full Denial Reason Code) = 3D OR 3E						
7	MC		0022	Employer Physical State Code	Not mandatory for following condition: Denial is being submitted where there is no policy in effect on date of accident.	Not mandatory for following conditions: MTC = 04 AND DN0198 (Full Denial Reason Code) = 3D OR 3E						
	MC		0023	Employer Physical Postal Code	Not mandatory for following condition:	Not mandatory for following conditions:						

Instructions | {Jur} Change Log | FROI Element Requirements | FROI 02 Exceptions | **FROI Conditional Requirements** | SROI Element Requirements | SROI 02 Exceptions | SROI Cd |



Claims Data to Report

IWCC Claims EDI R3.1

Data Element Requirements

- IWCC Element Requirements Table: [IL EDI-Claims-R3.1_Element-Requirement-Table_v1_1](#)
- Pay special attention to 02 (Change) Exceptions tab

02 MTC		FROI DATA ELEMENT			
Note: For MTC 02: If there is a Reportable Change Code of KG, JG, IG, YG on the Element Requirement Table then there is an entry here that indicates when a MTC 02 should be triggered. This table does not communicate the edits. The edits are provided on the Edit Matrix Population Restrictions table.					
Req Code	Change Reason Code	DN#	DATA ELEMENT NAME	EXCEPTIONS	IAIABC NOTE
NA	A	varies	varies by DN0412 Change Data Element/Segment Number in the Change Data Elements segment for Match Data elements	FROI 02 may be sent to add data that has not been reported when indicated in the Reportable Change Column for A (Add) only if the data element was not previously reported.	
NA	U	varies	varies by DN0412 Change Data Element/Segment Number in the Change Data Elements segment for Match Data elements	FROI 02 may be sent to U (Update) Match Data. See Edit Matrix: Population Restriction.	
NA	A, U, R, D	varies	varies by DN0412 Change Data Element/Segment Number in the Change Data Elements segment	FROI 02 may be sent and for specific DN that has changed must be valid per DN0413 Change Reason Code. See Edit Matrix: Population Restriction.	refer to Code Usage Limitations in 02 Change Processing Rules in Section 4 of Claims Release 3.1 implementation guide



Claims Data to Report

IWCC Claims EDI R3.1

Data Editing

- IWCC Edit Matrix: [IL_EDI-Claims-R3.1_Edit-Matrix_v1_1](#)
- Valid Value tab provides information about acceptable values
- Population Restriction tab provides information about error messages sent for invalid values submitted
- Sequencing tab provides sequencing, or order MTCs needs to be filed in

Section 1 – Code values that are 'Not Statutorily Valid' (Code values that are grayed out):

The jurisdiction should communicate in this section the code values that are not statutorily valid in the jurisdiction. A 'N' in the capture column indicates that the data element is not captured in the jurisdiction. A 'Y' in the capture column indicates that the data element is captured in the jurisdiction. A code value that has been grayed out indicates that the code is 'Not Statutorily Valid' in the jurisdiction. Jurisdictions may return Error Message-'042-Not Statutorily Valid' on grayed out values. The code values that are not grayed out are the code values that are statutorily valid and will be processed in the jurisdiction. See Section 2 (below) for Statutorily valid codes that are valid but will not be processed by jurisdiction.

DN	Element Name	Capture?	Acceptable Code Value List - grayed out indicates that a value is 'Not Statutorily Valid'																																
0058	Employment Status Code	Y	C	9	8	A	B	1	2	3	6	4	5	7	(see hierarchical order in dictionary)																				
0063	Wage Period Code (FROI)	Y	01	02	04	06	07																												
0063	Wage Period Code (SROI)	Y	01	04																															
0069	Pre-Existing Disability Code	N	Y	N	U																														
0073	Claim Status Code	Y	O	C	R	X																													
0074	Claim Type Code (FROI)	Y	M	I	N	B	L	W	P																										
0074	Claim Type Code (SROI)	Y	M	I	N	B	L	W	P																										
0075	Agreement to Compensate Code	N	W	L																															
0077	Late Reason Code	N	L1	L2	L3	L4	L5	L6	L7	L8	L9	LA	LB	LC	C1	D1	D2	D3	D4	D5	D6	E1	E2	E3	E4	E5	E6								
0085	Benefit Type Code	Y	010	020	021	030	040	050	051	070	080	090	210	220	221	230	240	242	250	251	270	410	500	501	510	520	521	524	530	540					
	Benefit Type Code (continued)	Y	541	550	551	570	580	590																											
0092	Benefit Adjustment Code	N	A	B	E	G	I	J	L	N	Q	R	S	T	U	V	W	X	Y	Z	1	2	3												
0097	Dependent/Payee Relationship Code (1st character)	N	2	3	4	5	6	7	8	9																									
	Dependent/Payee Relationship Code (2nd character)	N	0	1	2	3	4	5	6	7	8	9	A	B	C	D	E	F	G	H	I	J	K												
0126	Benefit Credit Code	N	C	M	P																														
0130	Benefit Redistribution Code	N	H	K																															
0146	Death Result of Injury Code	Y	Y	N	U																														
0158	Employee Tax Filing Status Code	N	A	B	C	D																													



Claims Data to Report

IWCC Claims EDI R3.1

Ways To Report

- Directly filling to the IWCC by sending an XML file
- Via an EDI Vendor
- Via the IWCC Web Portal
 - Available to Low Filers
 - Low Filers are defined as those trading partners who submit 250 workers' compensation claims or less to the IWCC on an annual basis.



The IWCC Web Portal Process

IWCC Claims EDI R3.1

➤ **Getting Access**

- Once approved, contact the IWCC EDI Coordinator for URL and credentials
- Manually enter claim data for the selected FROs and SROs and submit
- Watch for state acknowledgements
- Timely responses to state acknowledgements



File Submission Process

IWCC Claims EDI R3.1

➤ **Submitting XML Files to the IWCC**

- Once Trading Partner Agreement is sent, submit the Claims R3.1 XML file format to the secure FTP site
 - Contact the IWCC EDI Coordinator for your credentials and folder structure
- Place files daily by 5:00pm CST / 6:00pm EST
- Watch for daily state acknowledgement files returned on the secure FTP site
- Timely responses to state acknowledgements



Go Live Preparedness

IWCC Claims EDI R3.1

What's Next

1. Begin testing
2. Become certified
3. Prepare to stop submitting paper
4. Prepare to stop sending R1.0 transactions
5. Prepare to begin sending R3.1 transactions
6. Prepare legacy claim submissions
7. Go!



Need Help?

IWCC Claims EDI R3.1

1. Review Implementation Guide
2. Review FAQ Document
3. Reach Out with Questions to IWCC and Ebix via the IWCC EDI Coordinator
4. Contact the IAIABC
5. Attend a Training Event

Note: Getting EDI-ready may require decisions and approvals within your organization. Begin this process soon!



IWCC Claims EDI R3.1 XML

